



Docket No. MCP 5018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : OLIVER B. ANDERSON, et al.

Serial No. : 10/677984

Art Unit: 3721

Filed : October 2, 2003

Examiner:

For : ZERO CYCLE MOLDING SYSTEMS, METHODS AND  
APPARATUSES FOR MANUFACTURING DOSAGE FORMS

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January 30, 2004

(Date of Deposit)

DAVID R. CRICHTON

(Name of applicant, assignee, or Registered Representative)

  
(Signature)January 30, 2004

(Date of Signature)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this

information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached); or
- ☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not

enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☐ There are no listed references which are not in the English language.

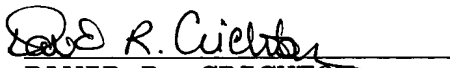
☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☐ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/MCP5018/DRC. This form is submitted in triplicate.

Respectfully submitted,

  
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DATED: January 30, 2004



PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 6

<b>Application Number</b>	10/677984
<b>Filing Date</b>	October 2, 2003
<b>First Named Inventor</b>	Oliver B. Anderson
<b>Group Art Unit</b>	3721
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	MCP 5018

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		599,865		E. L. Richards	03/01/1898	
		2,307,371		H. B. Hileman	01/05/1943	
		3,146,169		D. Stephenson, et al.	08/25/1964	
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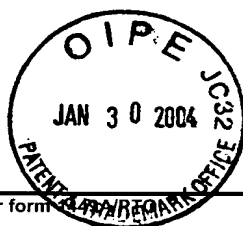
# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 6

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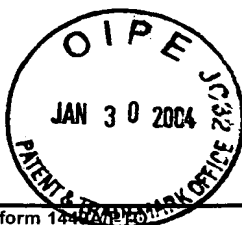
# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 6

<i>Application Number</i>	10/677984
<i>Filing Date</i>	October 2, 2003
<i>First Named Inventor</i>	Oliver B. Anderson
<i>Group Art Unit</i>	3721
<i>Examiner Name</i>	Not yet assigned
<i>Attorney Docket Number</i>	MCP 5018

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) Sheet 4 of 6	<b>Application Number</b>	10/677984
	<b>Filing Date</b>	October 2, 2003
	<b>First Named Inventor</b>	Oliver B. Anderson
	<b>Group Art Unit</b>	3721
	<b>Examiner Name</b>	Not yet assigned
	<b>Attorney Docket Number</b>	MCP 5018

#### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
		DE	27 10 307		Verfahren zur Herstellung von Verpackung von festen pharmazeutischen Dosiseinheiten (English abstract attached)	09-15-1977		
		EP	0 088 556	B1	Sumitomo Chemical Company Ltd.	09-14-1983		
		EP	0 239 983	B1	Boehringer Ingelheim International GmbH (English abstract attached)	10-07-1987		
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		EP	0 788 790	A2	Jagotec AG	02-06-1996		
		EP	0 861 659	A1	University of Kentucky Research Foundation	02-21-1992		
		EP	0 950 402	B1	McNeil-PPC, Inc.	02-22-1999		
		EP	1 077 065	A1	Chugai Seiyaku Kabushiki Kaisha	02-21-2001		
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		WO	03/020246	A1	SmithKline Beecham PLC	03/13/2003		
		WO	03/028619	A2	McNeil-PPC, Inc.	04-10-2003		





<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) Sheet 5 of 6				<i>Application Number</i>		10/677984	
				<i>Filing Date</i>		October 2, 2003	
				<i>First Named Inventor</i>		Oliver B. Anderson	
				<i>Group Art Unit</i>		3721	
				<i>Examiner Name</i>		Not yet assigned	
				<i>Attorney Docket Number</i>		MCP 5018	
		WO	03/028990	A1	McNeil-PPC, Inc.	04-10-2003	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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